

Interested Party Authorization

Account Owner(s) may authorize an interested party access to brokerage account statements and/or trade confirmations for its account(s) by completing the information below.

STEP 1: INTERESTED PARTY INFORMATION

Add an Interested Party Remove an Interested Party

Interested Party Name		Relationship to Account Owner(s)
Interested Party Email (optional)		Phone Number
Address		
City	State	ZIP Code

STEP 2: DOCUMENT DELIVERY INSTRUCTIONS

Indicate the type(s) of documents you would like to be delivered to this Interested Party.

- Duplicate Brokerage Statements
 Duplicate Trade Confirmations

STEP 3: IDENTIFICATION OF ACCOUNTS

List all account numbers for which you authorize this interested party to obtain duplicate brokerage statements and/or trade confirmations.

Account Title	Account Number
Account Title	Account Number
Account Title	Account Number
Account Title	Account Number

Please note, once the interested party has been added, they will continue to receive duplicate statements and/or confirmations until revoked by the account holder with an updated request form to remove the interested party access.

STEP 4: SIGNATURE(S)

By signing below, the Account Owner(s) authorize the Introducing Broker Dealer (“IBD”) and/or Axos Clearing LLC (“Axos Clearing”) to send to the interested party named above, account information as described in Step 2 of this form. I (we) understand and acknowledge that this information may include account information that is confidential and privileged.

I (we) understand that the IBD and/or Axos Clearing does not attempt to verify the address I have provided, and therefore the IBD and/or Axos Clearing will not be responsible for non-submission of information due to an inaccurate address.

All Account Owners and Authorized Agent must sign.

ACCOUNT OWNER(S)		
Account Owner Signature ✕	Print Name	Date
Account Co-Owner Signature ✕	Print Name	Date

Original signature required: electronic signatures and/or signature fonts are not authorized.

Broker Signature ✕	Print Name	Date
General Principal Signature ✕	Print Name	Date